

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10597574
	Filing Date		2007-10-28
	First Named Inventor	John G. Hildebrand	
	Art Unit	2425	
	Examiner Name	Cai Y. Chen	
	Attorney Docket Number	007412.00136	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5757909		1998-05-26	Park	
	2	6567127		2003-05-20	Orr et al.	
	3	6886178		2005-04-26	Mao et al	
	4	6981045		2005-12-27	Brooks	
	5	7073187		2006-07-04	Hendricks et al.	
	6	RE38236		2003-08-26	Kubota et al.	

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1	20020091771	2002-07-11	Agraharam et al.	
2	20020092021	2002-07-11	Yap et al.	
3	20020169823	2002-11-14	Coulombe et al.	
4	20030028643	2003-02-06	Jabri	
5	20030046686	2003-03-06	Candelore et al.	
6	20030059047	2003-03-27	Iwamura	
7	20030126608	2003-07-03	Safadi et al.	
8	20030135860	2003-07-17	Dureau	
9	20050022253	2005-01-27	Chen et al.	
10	20050175178	2005-08-11	Candelore et al.	

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FOREIGN PATENT DOCUMENTS

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2001-105351	JP		2001-12-26	Thomson Licensing SA		<input type="checkbox"/>
	2	2000-341430	JP		2002-05-24	Sony Corp		<input type="checkbox"/>
	3	2002-306331	JP		2003-08-08	Microsoft Corp.		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Data-Over-Cable Service Interface Specifications, Interim Specification, SP-RFLv1.1-107-010829, 2001-08-29, Cable Television Laboratories	<input type="checkbox"/>
	2	Japanese Office Action JP 2006-551486, dated 03.05.2012	<input type="checkbox"/>
	3	Japanese Office Action JP 2006-551486, dated 04.05.2011	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Evan M. Clark/	Date (YYYY-MM-DD)	2012-05-16
Name/Print	Evan M. Clark	Registration Number	64836

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